

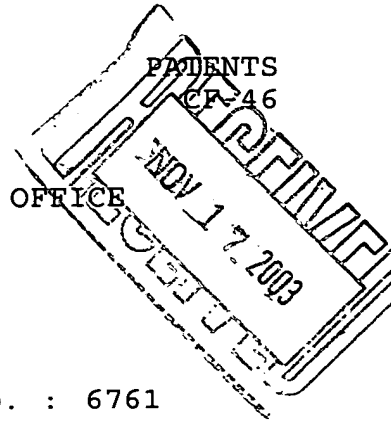


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GROUP 3600

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Andrew C. Gilbert  
Application No. : 10/042,371 Confirmation No. : 6761  
Filed : December 27, 2001  
For : SYSTEMS AND METHODS FOR CREATING AND  
TRADING DYNAMIC SECURITIES  
Group Art Unit : 3624

New York, New York 10020  
November 4, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant hereby makes the following documents of record in the above-identified patent application:

U.S. Patent Documents

<u>Applicant</u>	<u>Patent No.</u>	<u>Issue Date</u>
Edesess	5,884,287	(03/16/99)
Cwenar	5,893,079	(04/06/99)

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

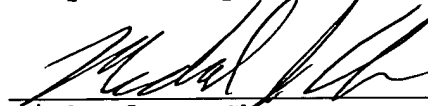
It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicant requests that a copy of Form PTO-1449, as considered and initialled by the Examiner, be returned with the next communication.

The listed patents are being cited because they were cited in an International Search Report issued by the International Searching Authority in connection with a counterpart PCT patent application. For the convenience of the Examiner, a copy of the International Search Report is attached.

Pursuant to 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each patent listed in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement. As can be seen from the attached copy of the International Search Report, the International Search Report was not mailed until September 8, 2003. Therefore, even if this application had already been examined (it has not been), no fee would be due with this Supplemental Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

An early and favorable action is respectfully  
requested.

Respectfully submitted,

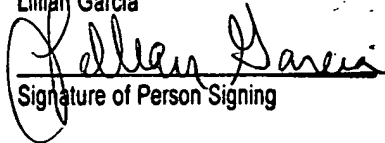


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November 4, 2023

Lillian Garcia

  
Signature of Person Signing

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
CF-46APPLICATION NO.  
10/042,371SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT BY APPLICANTAPPLICANT  
Andrew C. GilbertCONFIRMATION NO.  
6761FILING DATE  
December 27, 2001GROUP  
3624

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,884,287	3/16/99	Edesess	705	36	
	5,893,079	4/6/99	Cwenar	705	36	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.